

Inverse Problems in Algebra and Topology II  
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# Inverse Problems in Algebra

## Inverse Galois Problem

Does every finite group occur as the Galois group of an irreducible polynomial over  $\mathbb{Q}$ ?

## Inverse Problem for Automorphism Groups

Does every finite group occur as  $\text{Aut}(G)$  for some finite group  $G$ ?

- The Inverse Galois Problem is an open question.
- For the Inverse Problem for Automorphism Groups, we have the following negative result:

## Theorem

*The cyclic group  $\mathbb{Z}_{2n+1}$  is not realized as an automorphism group  $\text{Aut}(G)$  for  $G$  finite and  $n \geq 1$ .*

# Inverse Problem for Graph Automorphisms

## Definition

A simple graph  $\Gamma$  is a set vertices  $V$  together with a collection of unordered edges  $\{v_1, v_2\}$ . A *graph automorphism* is a bijection  $f: V \rightarrow V$  such that  $\{f(v_1), f(v_2)\}$  is in  $E$  if and only if  $\{v_1, v_2\}$  is in  $E$ .

## Question

Is every finite group  $G$  isomorphic to  $\text{Aut}(\Gamma)$  for some simple graph  $\Gamma$ ?

## Answer

Yes!

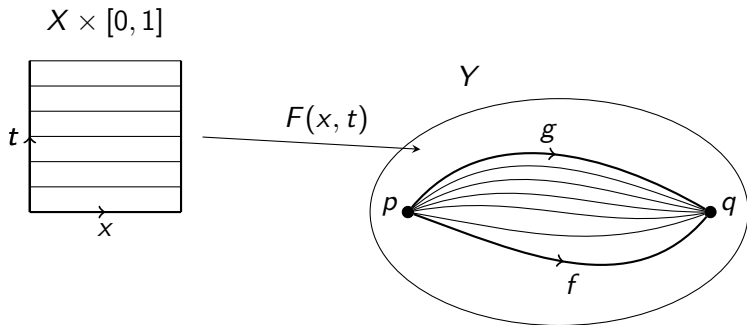
## Theorem (Frucht, 1939)

*Given a finite group  $G$  there exists a simple graph  $\Gamma$  with  $\text{Aut}(\Gamma) \cong G$ .*

# Homotopy Theory

## Definition (Homotopy of Maps)

Given  $f, g: X \rightarrow Y =$  continuous functions with  $f(x_0) = g(x_0) = y_0$ . We say  $f$  and  $g$  are *homotopic* if there is a map  $F: X \times [0, 1] \rightarrow Y$  with  $F(x, 0) = f(x)$  and  $F(x, 1) = g(x)$  and  $F(x, t) = y_0$  for all  $t \in [0, 1]$ .



# Homotopy Groups

$[X, Y]$  = homotopy equivalence classes of  $f: X \rightarrow Y$  with  $f(x_0) = y_0$   
 $S^n = \{x \in \mathbb{R}^{n+1} \mid |x| = 1\}$  = the  $n$ -sphere.

## Fundamental Group

$\pi_1(X, x_0) = [S^1, X]$  = group of loops in  $X$  at  $x_0$ .

Example:  $\pi_1(S^1, 1) \cong \mathbb{Z}$ .

We say a space  $X$  is *simply connected* if  $\pi_1(X, x_0) = 0$ .

Examples: The  $n$ -spheres  $S^n$  are simply connected for  $n \geq 2$ .

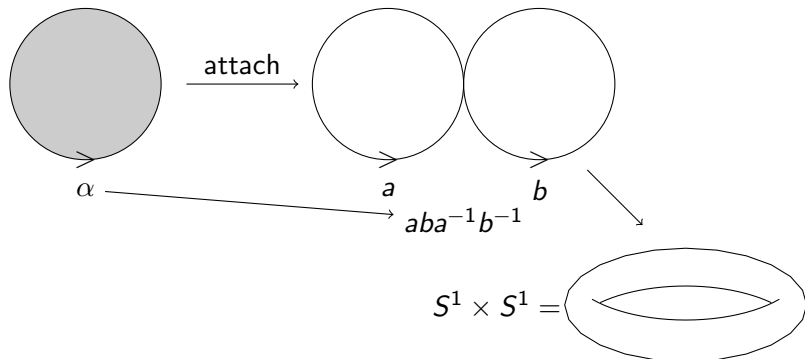
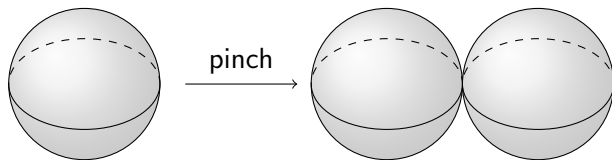
## Higher Homotopy Groups

$\pi_n(X, x_0) = [S^n, X]$  = the  $n$ th homotopy group of  $X$

## Theorem

The groups  $\pi_n(X, x_0)$  are abelian for  $n \geq 2$ .

## Two Maps with Spheres



# Structure of Homotopy Groups

sum:  $\alpha, \beta \in \pi_n(X) \implies \alpha + \beta \in \pi_n(X)$

$$\begin{array}{c} \alpha + \beta \\ \curvearrowright \\ S^n \xrightarrow{\text{pinch}} S^n \vee S^n \xrightarrow{\alpha \vee \beta} X \vee X \xrightarrow{\text{fold}} X \end{array}$$

bracket:  $\alpha \in \pi_m(X), \beta \in \pi_n(X) \implies [\alpha, \beta] \in \pi_{m+n-1}(X)$

$$\begin{array}{c} [\alpha, \beta] \\ \curvearrowright \\ S^{m+n-1} \xrightarrow{\text{attach}} S^m \vee S^n \xrightarrow{\alpha \vee \beta} X \vee X \xrightarrow{\text{fold}} X \end{array}$$

# Cohomology

The cohomology  $H^*(X; \mathbb{Z})$  of a space  $X$  is a *graded ring*:

$$\alpha, \beta \in H^n(X; \mathbb{Z}) \implies \alpha + \beta \in H^n(X; \mathbb{Z}).$$

cup product

$$\alpha \in H^m(X; \mathbb{Z}), \beta \in H^n(X; \mathbb{Z}) \implies \alpha \cdot \beta \in H^{m+n}(X; \mathbb{Z}).$$

anticommutativity

$$\alpha \cdot \beta = (-1)^{mn} \beta \cdot \alpha$$

Taking coefficients in  $\mathbb{Q}$ , we obtain a *graded algebra*  $H^*(X; \mathbb{Q})$ .

## Free Graded Algebra

Given  $V = \bigoplus_{n \geq 0} V_n$  a graded vector space,

Write  $V = \langle x_1, \dots, x_m, y_1, \dots, y_n \rangle$  for  $|x_k|$  even and  $|y_j|$  odd.

Free Graded Algebra

$$\wedge V = P(x_1, \dots, x_m) \otimes E(y_1, \dots, y_n)$$



# Eilenberg-Mac Lane Spaces

## Question

Given an abelian group  $G$  and  $n \geq 2$  is there a space  $X$  with  $\pi_n(X) \cong G$  and  $\pi_k(X) = \{e\}$  for  $k \neq n$ ?

## Theorem (Eilenberg-Mac Lane)

*Yes! There is such a space  $X = K(G, n)$ .*

## Loop Space

Given a space  $X$  with a basepoint  $x_0 \in X$  define the *loop space* of  $X$  by

$$\Omega X = \text{map}((S^1, 1), (X, x_0))$$

Concatenation of loops based at  $x_0$  makes  $\Omega X$  a *group-like space* with associativity, inverses, and identity all holding up to homotopy.

## Theorem

*For  $G$  abelian and  $n \geq 2$  we have  $K(G, n) = \Omega K(G, n - 1)$ .*

# Two Inverse Problems in Homotopy Theory

## Definition (Self-Homotopy Equivalences)

Given a space  $X$  we define

$$\text{Aut}(X) = \{f: X \rightarrow X \mid f \text{ homotopy equivalence}\} \subseteq \text{map}(X, X)$$

$$\mathcal{E}(X) = \text{path components of } \text{Aut}(X)$$

## Theorem

*The space  $\text{Aut}(X)$  is a group-like space and the set  $\mathcal{E}(X)$  is a group under composition of functions.*

## Inverse Problem for $\mathcal{E}(X)$

Does every finite group  $G$  occur as  $G \cong \mathcal{E}(X)$  for some space  $X$ ?

## Inverse Problem for $\text{Aut}(X)$

Does every loop space  $\Omega Y$  occur as  $\Omega Y \simeq \text{Aut}(X)$  for some space  $X$ ?

# Work on the group $\mathcal{E}(X)$

## Classical Results:

- $\mathcal{E}(S^n) \cong \mathbb{Z}_2$
- $\mathcal{E}(K(\pi, n)) = \text{Aut}(\pi)$

## Early Calculations

- [Olum, 1965]      For  $q > 2$ ,       $\mathcal{E}(L(p, q)) = \{x \in \mathbb{Z}_q^* \mid x^2 = \pm 1\}$
- [Oka-Sawashita-Sugawara, 1974]       $\mathcal{E}(Sp(2)) = D_{120}$

## Realization Results:

- [Oka, 1980] For  $n$  odd there is a space  $X_n$  with  $\mathcal{E}(X_n) \cong \mathbb{Z}_n$
- [Maruyama, 1994] There is a space  $X$  with  $\mathcal{E}(X) \cong \mathbb{Z}$ .

# Rational Homotopy Theory

## Rationalization

Let  $X$  be simply connected of finite type. There exists a space  $X_{\mathbb{Q}}$  and a map  $e: X \rightarrow X_{\mathbb{Q}}$  with  $e$  inducing isomorphisms:

$$H^*(X; \mathbb{Q}) \cong H^*(X_{\mathbb{Q}}; \mathbb{Z}) \quad \text{and} \quad \pi_*(X) \otimes \mathbb{Q} \cong \pi_*(X_{\mathbb{Q}}).$$

## Theorem (Sullivan, 1977)

*Let  $X$  be simply connected of finite type. There exists a differential graded algebra  $\mathcal{M}(X) = \wedge V, d$  over  $\mathbb{Q}$  satisfying:*

- $d: \wedge^q V \rightarrow \wedge^{q+1} V$  with  $d^2 = 0$  and  $d(V) \subseteq \wedge^+ V \cdot \wedge^+ V$ .
- $H^*(\wedge V, d) \cong H^*(X; \mathbb{Q})$ .
- $V \cong \pi_*(X) \otimes \mathbb{Q}$ .
- *The Sullivan minimal model  $\mathcal{M}(X)$  is a complete and faithful invariant of  $X_{\mathbb{Q}}$ .*

## Sullivan Model Examples

space	Sullivan model $\wedge V, d$
$S^{2n+1}$	$\wedge(x), d$ with $ x  = 2n + 1$ and $d = 0$
$S^{2n}$	$\wedge(x, y), d$ with $ x  = 2n,  y  = 4n - 1$ and $dx = 0, dy = x^2$ .
$\mathbb{C}P^n$	$\wedge(x, y), d$ with $ x  = 2,  y  = 2n + 1$ and $dx = 0, dy = x^{n+1}$ .
$G$	$\wedge(V), d$ with $V \cong \pi_*(G) \otimes \mathbb{Q}$ and $d = 0$ .
$G/H$ $\text{rk}G = \text{rk}H$	$\wedge(x_1, \dots, x_n) \otimes \wedge(y_1, \dots, y_n), d$ $ x_i $ even $ y_j $ odd, $d(x_i) = 0$ and $d(y_j) = P_j(x_1, \dots, x_n)$ .

# The Group $\mathcal{E}(X_{\mathbb{Q}})$

## Definition (DGA Homotopy)

We say two DGA maps  $f, g: \wedge V, d \rightarrow \wedge W, d$  are *DGA homotopic* written  $f \simeq_{\text{DGA}} g$  if there is a DGA map

$$\wedge V, d \xrightarrow{F} (\wedge W, d) \otimes \wedge(t, dt) \text{ with } \pi_0 F = f, \pi_1 F = g$$

where  $\pi_0(t) = 0$  and  $\pi_1(t) = 1$ .

## Theorem (Sullivan, 1977)

Let  $X$  be simply connected and of finite type. Then

$$\mathcal{E}(X_{\mathbb{Q}}) \cong \text{Aut}_{\text{DGA}}(\wedge V, d) / \simeq_{\text{DGA}} .$$

## Theorem (Sullivan-Wilkerson, 1977)

If  $X$  is a finite CW complex then  $\mathcal{E}(X_{\mathbb{Q}})$  is finitely presented.

## Some Realization Results for $\mathcal{E}(X_{\mathbb{Q}})$

- [Arkowitz-Lupton, 2000] There are (non-trivial) rational spaces  $X_{\mathbb{Q}}$  and  $Y_{\mathbb{Q}}$  with

$$\mathcal{E}(X_{\mathbb{Q}}) \cong \{e\} \text{ and } \mathcal{E}(Y_{\mathbb{Q}}) \cong \mathbb{Z}_2$$

- [Federinov-Félix, 2007] Let  $\mathcal{E}_{\#}(X) \subseteq \mathcal{E}(X)$  denote the subgroup of self-equivalences inducing the identity on homotopy groups. All 2-solvable nilpotent groups  $G$  are realized as  $\mathcal{E}_{\#}(X_{\mathbb{Q}})$  for some rational space  $X_{\mathbb{Q}}$ .
- [Benkhalifa, 2009] Let  $n < 10$ . Then there is a rational space  $X_{\mathbb{Q}}$  with

$$\mathcal{E}(X_{\mathbb{Q}}) \cong \mathbb{Z}_2^n.$$

# The Costoya-Viruel Construction

## Theorem (Costoya-Viruel, 2015)

Let  $G$  be a finite group. Then there is a space  $X$  with  $\mathcal{E}(X_{\mathbb{Q}}) \cong G$ .

## Sketch of Proof

### Step 1. Arkowitz-Lupton Rigid Model

$$(\wedge(Z), d) \quad Z = \langle x_1, x_2, y_1, y_2, y_3, z \rangle$$

$$|x_1| = 8 \qquad d(x_1) = 0$$

$$|x_2| = 10, \qquad d(x_2) = 0$$

$$|y_1| = 33, \qquad d(y_1) = x_1^3 x_2$$

$$|y_2| = 35, \qquad d(y_2) = x_1^2 x_2^2$$

$$|y_3| = 37, \qquad d(y_3) = x_1 x_2^3$$

$$|z| = 119, \qquad d(z) = y_1 y_2 x_1^4 y_1^4 + y_2 y_3 x_1^6 + x_1^{15} + x_2^{12}$$

Theorem:  $\mathcal{E}(\wedge(Z), d) \cong \{e\}$ .



## Step 2. Costoya-Viruel Construction

Let  $G$  be a given finite group and  $\Gamma = (V, E)$  a graph with  $\text{Aut}(\Gamma) \cong G$ .

$$(\wedge(W), d) \quad W = \langle x_1, x_2, y_1, y_2, y_3, z \rangle \oplus \langle x_v, z_v \mid v \in V \rangle$$

$$|x_1| = 8 \quad d(x_1) = 0$$

$$|x_2| = 10, \quad d(x_2) = 0$$

$$|y_1| = 33, \quad d(y_1) = x_1^3 x_2$$

$$|y_2| = 35, \quad d(y_2) = x_1^2 x_2^2$$

$$|y_3| = 37, \quad d(y_3) = x_1 x_2^3$$

$$|x_v| = 40, \quad d(x_v) = 0$$

$$|z| = 119, \quad d(z) = y_1 y_2 x_1^4 y_1^4 + y_2 y_3 x_1^6 + x_1^{15} + x_2^{12}$$

$$|z_v| = 119, \quad d(z_v) = x_v^3 + \sum_{(v,w) \in E} x_v x_w x_2^2$$

Theorem:  $\mathcal{E}(\wedge(W), d) \cong \text{Aut}(\Gamma) \cong G$ .

# Cayley's Theorem and $\mathcal{E}(X)$

## Theorem (Cayley)

*Every finite group  $G$  is isomorphic to a subgroup of  $S_n$ .*

## Benkhalifa, 2017

Given a finite group  $G = \{e, g_2, \dots, g_n\}$  let  $S = \{\sigma_1, \sigma_2, \dots, \sigma_n\}$  denote the corresponding subgroup of  $S_n$ . There is a DG algebra model

$$(\wedge(W), d) \quad W = \langle x_1, x_2, y_1, y_2, y_3 \rangle \oplus \langle w_j, z_j \mid j = 1, \dots, n \rangle$$

with differential  $d$  extending the Costoya-Viruel differential by

$$dw_j = 0 \quad d(z_j) = w_j^3 + \sum_{t=1}^n w_j w_{\sigma_t}(t) x_2^4 + x_1^{15} + u$$

# Benkhalifa Construction continued

## Theorem

*There is a short exact sequence*

$$0 \rightarrow H^{119}(\wedge V, d) \rightarrow \mathcal{E}(X_{\mathbb{Q}}) \rightarrow D_{40}^{119} \rightarrow 0.$$

## Theorem

$D_{40}^{119} \cong G$  and  $H^{119}(\wedge V, d) = 0$ .

## Corollary

$G \cong \mathcal{E}(\wedge V, d) \cong D_{40}^{119}$

## Corollary

*There is a Sullivan model  $\wedge V, d$  (not of finite type) with  $\mathcal{E}(\wedge V, d) \cong \mathbb{Z}$ .*

# $\text{Aut}(X)$ and its classifying space $\text{BAut}(X)$

## Theorem (Dold-Lashof, 1956)

*The space  $\text{Aut}(X)$  has a classifying space  $\text{BAut}(X)$  with*  
$$\Omega\text{BAut}(X) \simeq \text{Aut}(X)$$

## Definition

A fibration  $p: E \rightarrow B$  with fibre  $p^{-1}(b_0) \simeq X$  is called an  $X$ -fibration.

## Theorem (Stasheff, Dold, May)

*Let  $X$  be a CW complex. There is a universal  $X$ -fibration*

$$X \rightarrow UE \rightarrow \text{BAut}(X).$$

*inducing a one-to-one correspondence given by pull-backs of fibrations:*  
$$\{\text{equivalence classes of } X \text{ fibrations over } B\} \longleftrightarrow [B, \text{BAut}(X)].$$

# Aut( $X$ ) in Rational Homotopy Theory

## Rational Fibrations

A fibration  $F: E \rightarrow B$  of simply connected spaces has a *relative Sullivan model*:  $\wedge W, \delta \rightarrow \wedge W \otimes \wedge V, D \rightarrow \wedge V, d$

with  $D|_W = \delta$  and  $D(v) = d(v) + \sum_j w_j \theta_j(v) + \Phi$  for

$\Phi \in \wedge^+ W \cdot \wedge^+ W \otimes \wedge V$  and  $\theta_j$  a *derivation* of  $\wedge V$  of degree  $|w_j|$

## Derivation DG Lie Algebra

Let  $\wedge V, d =$  DG algebra model for a simply connected space  $X$ . Define

$$\text{Der}_m(\wedge V) = \{\theta: \wedge^n V \rightarrow \wedge^{n-m} V \mid \theta(xy) = \theta(x)y + (-1)^{m|x|}x\theta(y)\}$$

$$\text{bracket} : [\theta, \varphi] = \theta \circ \varphi - (-1)^{|\theta||\varphi|} \varphi \circ \theta$$

$$\text{differential} : D(\theta) = [d, \theta] = d \circ \theta - (-1)^{|\theta|} \theta \circ d$$

## Theorem (Sullivan, 1977)

Let  $X$  be a simply connected CW complex. Then

$$H_*(\text{Der}(\wedge V), D) \cong \pi_*(\text{Aut}(X_{\mathbb{Q}})).$$

## Examples

- $\text{Aut}(S^{2n+1}) \simeq_{\mathbb{Q}} K(\mathbb{Q}, 2n + 1)$
- $\text{Aut}(S^{2n}) \simeq_{\mathbb{Q}} K(\mathbb{Q}, 4n - 1)$
- $\text{Aut}(CP^n) \simeq_{\mathbb{Q}} K(\mathbb{Q}, 3) \times \cdots \times K(\mathbb{Q}, 2n + 1)$

## Realization Problem

Given a space  $Y$  find a space  $X$  so that  $\text{Aut}(X_{\mathbb{Q}}) \simeq \Omega Y_{\mathbb{Q}}$ .

## Example (Lupton-S., 2016)

For each  $n \geq 2$  and there exists a  $\pi$ -finite space  $X^n$  with

$$\text{Aut}(X_{\mathbb{Q}}^n) \simeq_{\mathbb{Q}} \Omega(S^{2n+1} \times S^{2n+3}).$$

# Homotopy-Finite Spaces

## Definition

Say  $X$  is  $\pi$ -finite if  $\pi_n(X) \otimes \mathbb{Q} = 0$  for  $n \geq N$  for some  $N$ . If  $N$  is maximal, say  $N$  is the **top homotopy degree** for  $X$ .

## Theorem

*If  $X$  is  $\pi$ -finite with top homotopy degree  $N$  then  $\text{Aut}(X)$  is  $\pi$ -finite with top homotopy degree  $N$ , as well. Further,  $\pi_N(\text{Aut}(X)) \otimes \mathbb{Q} \cong \pi_N(X) \otimes \mathbb{Q}$ .*

## Refined Inverse Problem

Given a  $\pi$ -finite space  $Y$  is there a  $\pi$ -finite space  $X$  with  $\text{Aut}(X) \simeq_{\mathbb{Q}} \Omega Y$ ?

# A Negative Result

## Theorem

*The space  $\Omega\mathbb{C}P^2$  cannot be realized, up to rational homotopy type, as  $\text{Aut}(X)$  for  $X$  simply connected and  $\pi$ -finite.*

## Sketch of Proof:

Suppose  $X$  is  $\pi$ -finite, 1-connected with  $\text{Aut}(X) \simeq_{\mathbb{Q}} \Omega\mathbb{C}P^2$ . Recall

$$\pi_k(\Omega\mathbb{C}P^2) \otimes \mathbb{Q} \cong \begin{cases} \mathbb{Q} & \text{for } k = 1, 4 \\ 0 & \text{otherwise} \end{cases}$$

The minimal model for  $X$  must be of the form:

$$\wedge(v_1, \dots, v_r, u_1, \dots, u_s, y), d \quad \text{with} \quad |v_i| = 2, |u_j| = 3, |y| = 4$$



Step 1: The derivations  $(y, v_i)$  and  $(v_i, 1)$  are cycles and so must be boundaries. Conclude that, in the model for  $X$ :

$\wedge(v_1, \dots, v_r, u_1, \dots, u_s, y), d$  with  $|v_i| = 2, |u_j| = 3, |y| = 4$   
we have  $r = s \geq 2$  and  $d(y) = u_1 v_1 + \dots + u_r v_r$ .

Step 2: Let  $z$  have  $|z| = 2$ . Construct an inclusion of models:

$$\wedge(z), 0 \rightarrow \wedge(z) \otimes \wedge(v_1, \dots, v_r, u_1, \dots, u_r, y), D$$

$$\text{with } D(u_1) = z v_2 + d(u_1) \quad D(u_2) = -z v_1 + d(u_2)$$

and  $D = d$  for all other generators.

Check that

$$\begin{aligned} D^2(y) &= D(u_1 v_1 + u_2 v_2 + \dots + u_r v_r) \\ &= z v_2 v_1 - z v_1 v_2 + d^2(y) = 0. \end{aligned}$$

This is a rational fibration.

Step 3. The relative Sullivan model:

$$\wedge(z), 0 \rightarrow \wedge(z) \otimes \wedge V, D \rightarrow \wedge V, d$$

has spatial realization:

$$X_{\mathbb{Q}} \rightarrow E_{\mathbb{Q}} \rightarrow K(\mathbb{Q}, 2).$$

This  $X_{\mathbb{Q}}$ -fibration is non-trivial. It must then have a rationally essential classifying map:

$$h: K(\mathbb{Q}, 2) \rightarrow \text{BAut}(X_{\mathbb{Q}})$$

Since  $H^*(K(\mathbb{Q}, 2) \cong \wedge(z))$  is a polynomial algebra it follows that  $H^*(\text{BAut}(X_{\mathbb{Q}}); \mathbb{Q})$  has infinite cup-length. But  $\mathbb{C}P^2$  has cup-length = 2. Therefore

$$\text{BAut}(X_{\mathbb{Q}}) \not\cong_{\mathbb{Q}} \mathbb{C}P^2.$$

Thank you!!